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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/059,086	01/28/2002	Michael Wayne Brown	AUS920010519US1	3222
7590	09/08/2004		EXAMINER	ZHOU, TING
Marilyn Smith Dawkins International Business Machines Corporation Intl Prop Law Dept. Internal Zip 4054 11400 Burnet Road Austin, TX 78758			ART UNIT	PAPER NUMBER
			2173	
DATE MAILED: 09/08/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	10/059,086	BROWN ET AL.
	Examiner	Art Unit
	Ting Zhou	2173

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_\_.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-18 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 28 January 2002 is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All    b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 1/28/02, 4/12/02.

- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application (PTO-152)
- 6) Other: \_\_\_\_\_.

**DETAILED ACTION*****Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

1. Claims 1-2, 4-8, 10-14 and 16-18 are rejected under 35 U.S.C. 102(e) as being anticipated by Andrew et al. U.S. Patent 6,633,310.

Referring to claims 1, 7 and 13, Andrew et al. teach a method, system and program comprising a graphical user interface (column 4, lines 51-53), displaying a user interface comprising at least one displayable object within a display area controlled by a computer system (displaying interface elements on the GUI) (column 4, lines 59-67 and further shown in Figure 2), monitoring a transparency associated with the at least one displayable object (monitoring the switch between translucent and opaque of graphical

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user interface elements) (column 1, lines 62-63), and responsive to an initiating event, placing a transparency characteristic aid comprising the monitored transparency of the at least one displayable object within the display area, such that the at least one displayable object is not obscured by the transparency characteristic aid (responsive to the user positioning the pointer over an element, the element changes transparency from being translucent to opaque and correspondingly, a characteristic aid, or sound can be played in conjunction with the element changing transparency level from being translucent to opaque) (column 1, lines 62-67 through column 2, lines 1-3 and column 7, lines 26-35).

Referring to claims 2, 8 and 14, Andrew et al. teach responding to an initiating event, wherein the initiating event is at least one of a cursor placement, an occurrence of a user-defined event, and a user input (the transparency of the element is adjusted, and therefore the sound is played, as a response to user input of positioning the cursor over the element) (column 1, lines 63-67).

Referring to claims 4, 10 and 16, Andrew et al. teach monitoring a plurality of factors that determine the transparency associated with the at least one displayable object (monitoring factors that affect the switch between the elements being translucent and opaque, and vice versa, such as the pointer position, how long the pointer has remained on the element, etc.) (column 1, lines 62-67 through column 2, lines 1-8 and column 6, lines 50-58).

Referring to claims 5, 11 and 17, Andrew et al. teach placing the transparency characteristic aid to maximize space remaining in the display area (since the transparency characteristic aid is an audio sound, no additional screen space is utilized and therefore, the space remaining in the display area is minimized) (column 7, lines 26-35).

Referring to claims 6, 12 and 18, Andrew et al. teach initializing the transparency characteristic aid, wherein the transparency characteristic aid comprises at least one from among text, graphics, video and audio (the transparency aid comprises an audio sound providing confirmation to the user of the monitored transparency changes) (column 7, lines 26-35).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 3, 9 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Andrew et al. U.S. Patent 6,633,310, as applied to claims 1, 7 and 13 above, and Kamiwada et al. U.S. Patent 6,741,266.

Referring to claims 3, 9 and 15, Andrew et al. teach all of the limitations as applied to claims 1, 7 and 13 above. However, Andrew et al. fail to explicitly teach determining a graphical output format for the transparency characteristic aid, adjusting a transparency of the transparency characteristic aid according to user transparency preferences, determining a display position for the transparency characteristic aid, and adjusting the transparency of the transparency characteristic aid according to the display position. Kamiwada et al. teach a graphical user interface comprising at least one displayable object (displaying a GUI on a picture) (Kamiwada et al.: column 2, lines 37-

40) similar to that of Andrew et al. In addition, Kamiwada et al. further teach determining a graphical output format for the transparency characteristic aid (displaying a graphical user interface component on a picture element displayed on a graphical user interface, in response to a display indication) (Kamiwada et al.: column 2, lines 38-43), adjusting a transparency of the transparency characteristic aid according to user transparency preferences (adjusting the transparency of the GUI component to suit a user's preferences and convenience) (Kamiwada et al.: column 7, lines 7-11), determining a display position for the transparency characteristic aid (position detecting unit detecting a position of a pointer of the GUI) (Kamiwada et al.: column 2, lines 54-55), and adjusting the transparency of the transparency characteristic aid according to the display position (adjusting the transparency of the graphical user interface component based on the position detected) (Kamiwada et al.: column 2, lines 51-58). It would have been obvious to one of ordinary skill in the art, having the teachings of Andrew et al. and Kamiwada et al. before him at the time the invention was made, to modify the interface for placing a transparency characteristic aid of the monitored transparency of a displayed object of Andrew et al. to include selectively adjusting the transparency of displayed GUI components according to user preference and positional information, as taught by Kamiwada et al. One would have been motivated to make such a combination in order to provide a graphical user interface display with good operation and without interfering and obscuring other objects displayed on the screen; by allowing the user to selectively adjust the transparencies of objects based on their location, the information displayed and viewable on the screen is maximized.

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3. The prior art made of record on form PTO-892 and not relied upon is considered pertinent to applicant's disclosure. Applicant is required under 37 C.F.R. § 1.111(c) to consider these references fully when responding to this action. The documents cited therein teach similar methods of monitoring and adjusting the transparencies of displayable objects in a graphical user interface.

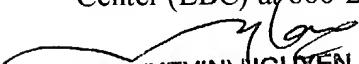
***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ting Zhou whose telephone number is (703) 305-0328 through the month of October, 2004 and (571) 272-4058 thereafter. The examiner can normally be reached on Monday - Friday 8:00 am - 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cabeca can be reached at (703) 308-3116 through the month of October, 2004 and (571) 272-4048 thereafter. The fax phone number for the organization where this application or proceeding is assigned is (703) 746-8720 through the month of October, 2004 and (571) 273-4058 thereafter.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
CAO (KEVIN) NGUYEN  
PRIMARY EXAMINER

20 August 2004